

Preparation of the CBC Programme Romania – Moldova 2014-2020

Study case of a programming exercise

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Summary of the presentation

- ENI CBC programmes 2014-2020
- The overall methodological approach – the logics of the Programme design process;
- Data collection – process and tools;
- Data analysis;
- Consultation and approval of the Programme – approach and timing



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Proposed list of ENI CBC programmes 2014-2020

Land border programmes

Kolarctic programme - Finland /Russia

Karelia programme - Finland/Russia

SE Finland/Russia

Estonia/Russia

Latvia/Russia

Lithuania/Russia

Poland/Russia

Latvia/Lithuania/Belarus

Poland/Belarus/ Ukraine

Hungary/Slovakia/Romania/Ukraine

Romania/Moldova

Romania/Ukraine

Sea crossings programme

Italy/Tunisia

Sea basin programmes

Baltic Sea Region

Black Sea

Mediterranean

Mid Atlantic



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A. Promote economic and social development

TO1: Business and SME development

TO2: Support to education, research, technological development and innovation

TO3: Promoting of local culture and preservation of historical heritage

TO4: Promotion of social inclusion and fight against poverty

TO5: Support to local & regional good governance

B. Address common challenges in environment, health, safety and security

TO4 and TO5

TO6: Environmental protection, and climate change adaptation

TO8: Common challenges in the field of safety and security

TO9: Promotion of energy cooperation

C. Promote better conditions and modalities for facilitating the mobility of persons, goods and capital

TO4 and TO5

TO7: Improvement of accessibility to the regions, development of transport and communication networks and systems

TO10: Promotion of border management and border security



❖ Mainly funded through grants:

⇒ calls for proposals;

⇒ direct award procedure:



- ✓ *exceptionally and in justified cases (monopoly, type of body);*
- ✓ *list included in JOP (list is final for large infrastructure projects and only indicative for all other projects);*
- ✓ *with prior EC approval.*



Large Infrastructure Projects (LIPs):

- ❖ projects with an infrastructure component of at least 2,5M€;
- ❖ selected via direct award or calls for proposals.

Final application for LIPs selected via direct award to be submitted to the EC no later than end 2017



Conditions for financing:

- ❖ CBC impact and added value to EU strategies and programmes
- ❖ Integrated/Symmetrical/Single-country projects
- ❖ Implementation in the programme area



Beneficiaries:

- ❖ **In each project:** at least one beneficiary from a Member State (MS) and at least one from a CBC partner country/ Russian Federation;

- ❖ **Conditions for participation:**
 - Natural or legal person;
 - Established in the programme area

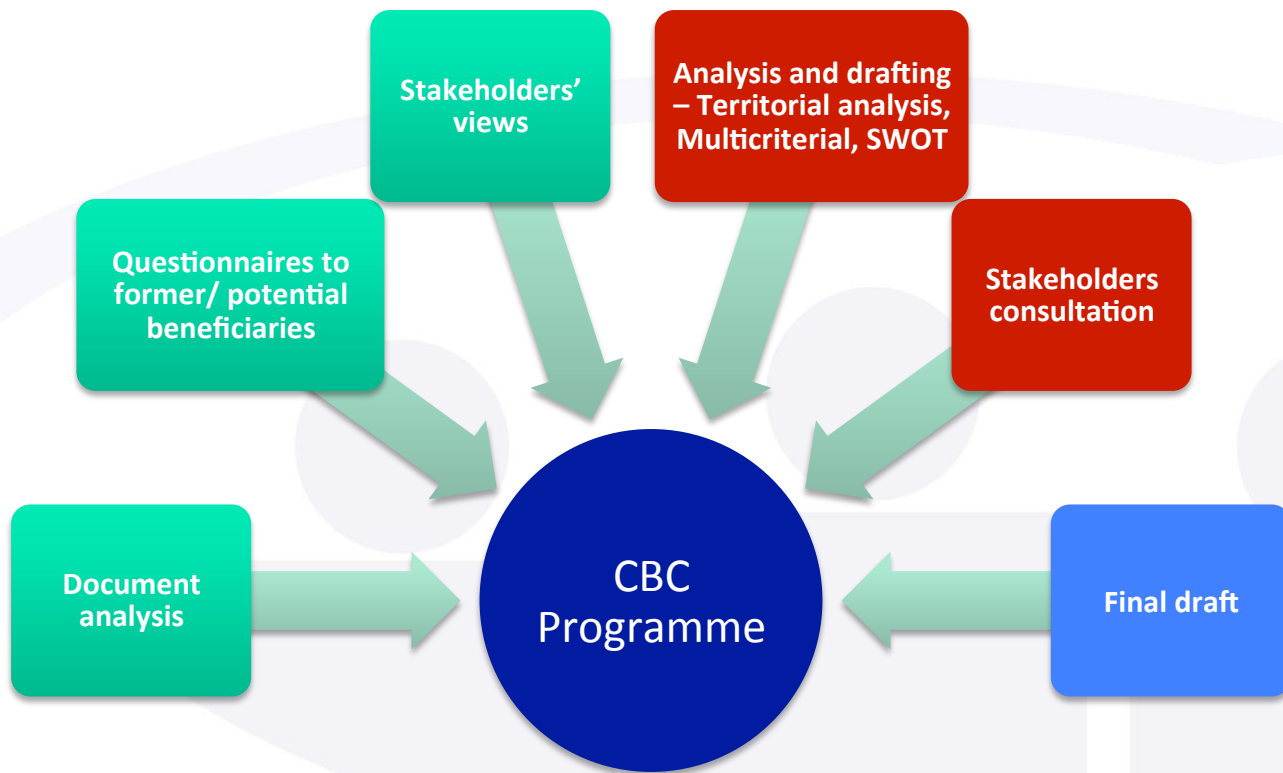


Geographical area to be covered in the next JOP RO-MD

- **Romania:** the counties of Iași, Botoșani, Vaslui and Galați
- **Republic of Moldova:** Whole territory



Organizing the Process



**Partnership and ongoing consultation
with JPC and CA**



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Methodology Summary (1)

Description of the Programme area

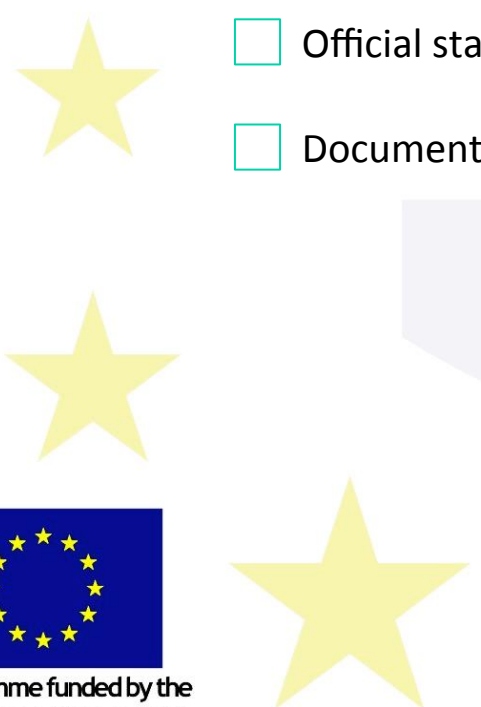


- Territorial analysis*
- Official statistical data
- Document analysis

Programme's Strategy



- Multicriterial*
- SWOT*
- Logical Framework*
- Interviews, focus groups, questionnaires
- Projects Database
- Territorial analysis Report



Methodology Summary (2)

Coherence



Coherence analysis

Document analysis – EU, macro-regional, national, sub-national

Interviews with Programme Stakeholders

Structures, authorities and management bodies



Interviews with Programme stakeholders

Document review – ENPI regulations, management and financial procedures

Exchange experience and best practice with comparable management authorities

Programme implementation



Interviews with Programme stakeholders

Document analysis

Working groups



Data collection (1)

What data

- **Document / legislation analysis** (Eu regulations, country/regional strategies, policy documents)
- **Projects analysis**
 - Output indicators for each priority and measure - level of achievement;
 - Investment volume for each priority and measure;
 - Project mapping: distribution of types of projects in the program area



Data collection (2)

What data

- **Official statistic data**

- **Population data:** no. birth, death, urban/rural distribution, employed/unemployed, age structure, gender, dynamics, migration;
- **Data on infrastructure:** road network, accessibility, technological network utilities, network rail/sea/river;
- **Data on the quality of life of urban / rural:** housing, services, health system structure, utilities (sewage, water supply , gas , electricity)
- **Data on geographical features:** rural network, urban centres, functional urban areas, growth poles;
- **Economic data:** types of activities, employment percentages, economic clusters, emerging clusters.



Data collection (3)

How – consultations in Romania

				Data collection focus on:		
Stakeholder	Method	Indicator	Target	Strategy / Coherence	Management	Implementation
County councils (CC) representatives of Iasi, Botoșani, Vaslui and Galați	Interview	No. of CC	4	X		X
Municipal councils representatives (MC) of Iași, Botoșani, Vaslui, and Galați	Interview	No. of MC	4	X		X
NGOs (POJ RO-UA-MD beneficiaries)	Focus groups	No. of org.	20	X		X
County Chamber of Commerce and Industry	Focus groups	No. of org.	4	X		X
Ministry of Foreign Affairs (Official Development Assistance Division & Republic of Moldova Office)	Interview	No of interviews	2	X		
Ministry of European Funds			3	X	X	X
Audit authority	Interview	No of interviews	1		X	X
Competition Council	Interview	No of interviews	1		X	X
Regional Office for Cross Border Cooperation Iași	Interview	No of interviews	1	X	X	X
JMA RO-UA-MD	Interview	No of interviews	to be decided	X	X	X
ENPI JMA/ National Authorities	Interview	No of interviews	to be decided	X	X	X
OP Managing Authorities	Interview	No of interviews	7	X	X	X
North-East Regional Agency	Interview	No of interviews	1	X	X	X
South-East Regional Agency	Interview	No of interviews	1	X	X	X

Data collection (3)

How – consultations in Republic of Moldova

				Data collection focus on:		
Stakeholder	Method	Indicator	Target	Strategy / Coherence	Management	Implementation
State Chancellery	Interview	No. of interviews	1	X	X	X
Ministry of Foreign Affairs and European Integration	Interview	No. of interviews	1	X		
Ministry of Regional Development and Construction	Interview	No. of interviews	1	X		
Association of the presidents of Districts Councils	Interview	No. of interviews	1	X		X
Municipal councils representatives (MC) of Bălți, Chișinău, Cahul	Interview	No. of MC	3	X		X
NGOs (cross border projects beneficiaries)	Focus groups	Nr. organisations	15	X		X
Chamber of Commerce and Industry	Focus groups	Nr. organisations	1	X		X
Ministry of Economy	Interview	No of interviews	1	X		
EU Delegation	Interview	No of interviews	1	X		
Major donors (UNDP, SIDA, USAID)	Interview	No of interviews	3	X		
Agencies for Regional Development	Interview	No of interviews	3	X		

Data collection (4)

Focus groups

- 4 focus groups in **Iasi, Botoşani, Vaslui, Galaţi** with the participation of NGOs (5 per county) and County Chamber of Commerce and Industry representatives.
- 3 focus groups in **Bălţi, Chişinău, Cahul** with the participation of NGOs (minimum 5 per region) and one County Chamber of Commerce and Industry representative.
- NGO representatives (various fields) will be invited to participate in focus groups according to the following non-cumulative criteria:
 - Eligible under the current JOP / Is a CBC JOP beneficiary;
 - Is active in the border area, (portfolio demonstrating cross-border activities);
 - Experience in implementing projects financed from European or national programs.



Data collection (5)

Survey

200 organizations that have submitted requests for funding and implemented projects will be invited to take the **on-line survey**. The organisations will be selected from the database provided by the contracting Authority, based on the following criteria:

- Geographical area (all the regions will be represented)
- Type of beneficiaries (public institution, NGO, etc.)
- Priorities
- Project budget

Target response rate is 50%.



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Data analysis

- Territorial analysis
- Multi-criteria analysis
- SWOT analysis
- ★ • Coherence analysis (with other programmes and existing strategies)

★ *Purpose: - evidence-based recommendations for 2-4 thematic objectives of the Programme*



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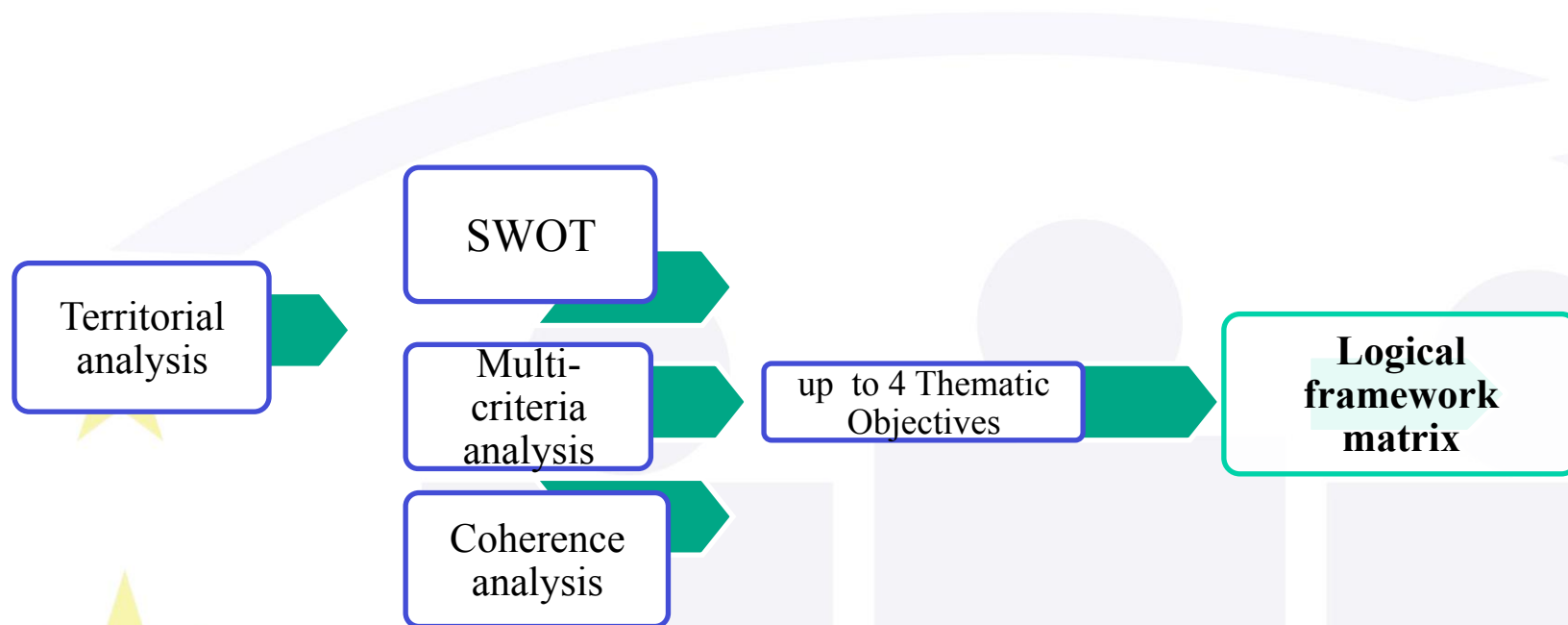


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The thematic objectives for assessment

1. Business and SME development
2. Support to education, research, technological development and innovation
3. Promotion of local culture and preservation of historical heritage
4. Promotion of social inclusion and fight against poverty
5. Support to local & regional good governance
6. Environmental protection, climate change adaptation
7. Improvement of accessibility to the regions, development of transport and communication networks and systems
8. Common challenges in the field of safety and security
9. Promotion of energy cooperation
10. Promotion of border management, and border security
11. Other areas not listed above likely to have a substantial cross-border impact (case by case justification required*)

Setting of thematic objectives and priorities



Logical framework matrix

Thematic objective	Challenges	Needs	Justification	Specific
----- -----	----- -----	----- -----	----- -----	----- -----



Indicative actions	Output indicators	Result indicators	Beneficiaries
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Programme indicators

Priority objective	Outputs (projects)	Base line	Target value	Results (programme)	Base line	Target value



Designing the management and control structures

ENI CBC Rules

Data collection: in depth interviews, document analysis

Consultations: working group for implementation

Comparative analysis with other donors procedures

Procedures, structures, capacities



Programme implementation

ENI CBC Rules

Data collection: interviews, document analysis (legislative documents), survey

Consultations and working groups

Comparative analysis of other donors procedures

Budgetary planning

Management and control systems / time frame / selection procedures / LIPs / Technical Assistance / Communication strategy / SEA impact assessment / Financial plan / Eligibility criteria / Liabilities / Co-financing / IT System / Language



Consultations on the first JOP Draft

Workshops with stakeholders

	Stakeholders	Where	Covered areas	No. of participants
Workshop 1	Representatives of: <ul style="list-style-type: none">• Regional authorities• NGOs• Business environment	Chisinau (MD)	Whole Country	25
Workshop 2	Representatives of: <ul style="list-style-type: none">• Regional authorities• NGOs• Business environment	Iași (RO)	Botoșani (RO)	20
Workshop 3	Representatives of: <ul style="list-style-type: none">• Regional authorities• NGOs• Business environment	Galați (RO)	Vaslui (RO)	20



Consultations on the first JOP Draft

On-line consultations

1	2	3	4	5
Chapter, page	Text to be modified	New text (proposal) Indicate whether the text is removed entirely Indicate whether there are new texts	Acceptance / rejection of the proposed amendment	Justification in the case of rejection

Columns 1, 2, 3 - to be completed by the stakeholder who wants to introduce an amendment.

Columns 4, 5 - to be completed as response/ reaction by the Consultant.



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Consultations on the first JOP Draft

Meetings with SEA experts

The Contracting Authority has acquired the external expertise for performing the Strategic Environmental Assessment (SEA).

★ The recommendations of the SEA will be considered in drafting the final version of the Programme.

★ At least three face to face meetings with SEA experts starting from month 7 of the contract.



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Necessary Input from JPC

Meetings / implementation month	feb	mar	apr	mai	iun	iul	aug	sept	oct	nov	dec	ian
1. JPC meetings (for the validation of deliverables)												
2. Working group on LIPs												
3. Working group on management												

VALIDATION

Reviews the results of the analysis and recommendations of the Consultant and decides on the priorities of the Programme and necessary steps ahead;

Takes any decision necessary concerning the contents of the JOP and the allocation of the Programme resources between priorities;

Decides on the future allocation of funds for each priority and technical assistance in terms of commitments and payments

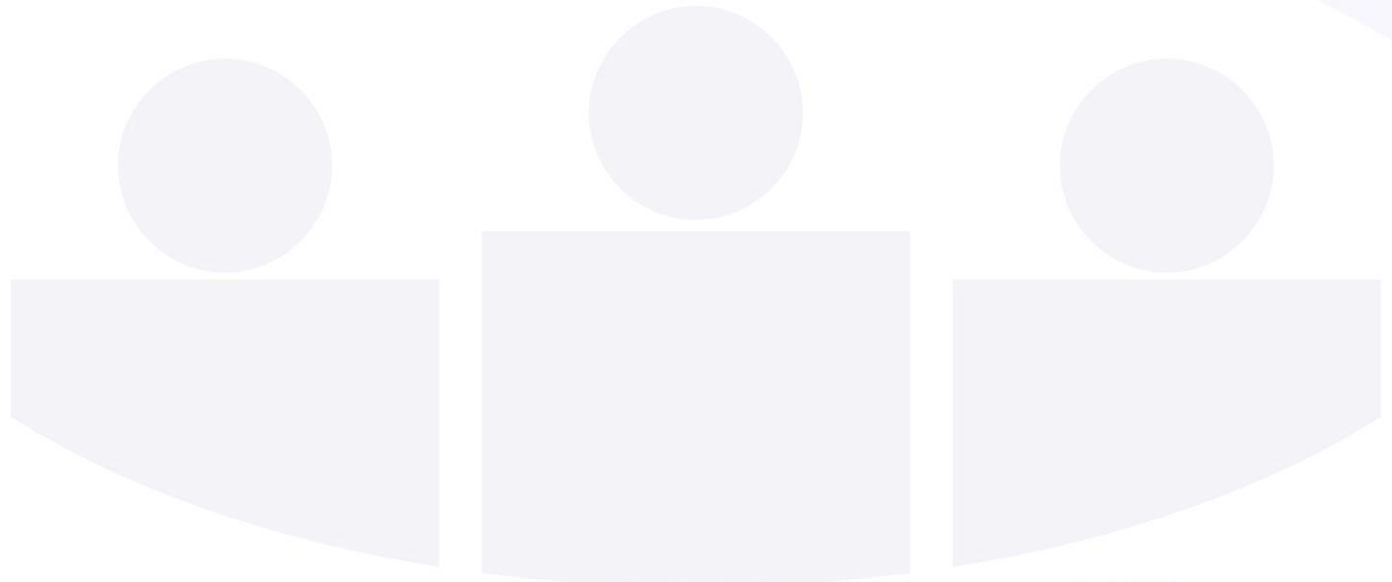


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Thank you



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